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11048 U.S. PTO

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Practitioner's Docket No. 782\_193

PATENT

## NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on November 2, 2001 under "EXPRESS MAIL" mailing label number **EL872580292US**.

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Transmitted herewith for filing is the patent application of:

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Title: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN  
APPARATUS FOR FABRICATING THE SAME

## 1. Papers enclosed

## A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 8 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 3 Sheets of Formal Drawings (Figs. 1A-4)

## B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

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## 2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of:  
Japanese Application 2000-347,735 filed November 15, 2000
- ☒ An Assignment Transmittal and Assignment of the invention to:  
NGK Insulators, Ltd.  
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## 3. The correspondence address for this application is: Customer No.: 025191

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## 4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

## 5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

## 6. The filing fee has been calculated as shown below:

A. Filing Fee	
<input checked="" type="checkbox"/> Original Patent Application <small>(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)</small>	\$ <u>740.00</u>
B. Fees for Claims (9 Claims; 2 Indep.)	
<input type="checkbox"/> each independent claim in excess of 3 <small>(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00)</small>	\$ <u>.00</u>
<input type="checkbox"/> each claim in excess of 20 <small>(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)</small>	\$ <u>.00</u>
<input type="checkbox"/> multiple dependent claim(s) <small>(37 C.F.R. 1.16(d)--\$280.00; small entity--\$140.00)</small>	\$ <u>.00</u>
C. Surcharge fees	
<input checked="" type="checkbox"/> late payment of filing fee <small>(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)</small>	\$ <u>130.00</u>
<i>and/or</i>	
<input checked="" type="checkbox"/> late filing of original declaration or oath <small>(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)</small>	
NOTE: <i>If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).</i>	\$ <u>.00</u>
D. <input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>

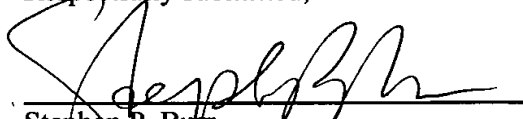
**Total Fees Due \$ 910.00**

TOEPLITZ SHEET

**7. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.  
☐ The Commissioner is hereby authorized to charge payment of the following fees  
associated with this communication or credit any overpayment to Deposit Account No.  
50-1446. A duplicate copy of this sheet is enclosed.  
☐ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Stephen P. Burr  
Reg. No. 32,970

November 2, 2001

Date

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TOTAL SHEETS